Altus
CLEAR THINKING
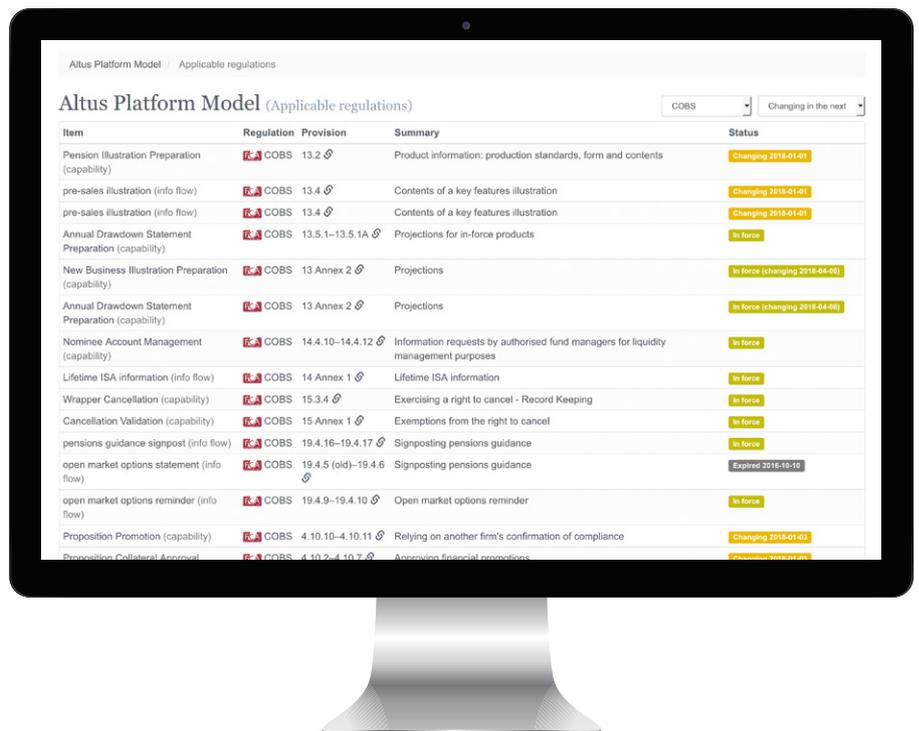## FOR FINANCIAL SERVICES

PEAK:Regulation

Cut the cost of regulatory compliance. Manage all your regulation and compliance rules in one place, automatically monitor changes and see at a glance the impact across your entire business.

A COMMON LANGUAGE

PEAK supports the structured capture, linking and navigation of all the main regulatory provisions which govern UK Financial Services firms. The system provides a single consistent interface to make sense of the enormous range of rules a firm must abide by. PEAK allows users to manage legal, regulatory and tax provisions from both UK and European bodies with many of the most common rules already pre-loaded.

AUTOMATED MONITORING

In addition to providing a consistent structure for understanding a wide variety of current Financial Services rules, the system automatically monitors some of the most common sources for change. PEAK will routinely scan the FCA and PRA websites for new regulatory papers, capture key dates, provide electronic links to the source content and prompt users to review and assess the impact.

Find out more

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ONE CONSISTENT PICTURE

Understanding rules is one thing but applying them to your business requires a complete and robust understanding of your operation to measure them against.

PEAK comes complete with comprehensive models of all the key retail financial services sectors based on years of Altus experience.

The models provide a granular view of over 3,000 distinct business capabilities required to operate in these sectors and regulatory provisions can be mapped to any of them. PEAK is pre-loaded with some of the most common mappings based on Altus analysis and this can be easily extended.

The models are underpinned by more than 8,000 flows of information, all of which can be adapted and tailored to suit any organisation. All this detail is rolled up into a one-page summary view which provides a distinctive canvas on which the system can automatically visualise a range of different analyses.

IMPACT ANALYSIS

Because PEAK understands both business capabilities and the rules which apply to them, it can work out where regulatory change will impact your business and show this visually as a dashboard. This can be done for a single change or across many to see the combined effect of multiple changes - the example below illustrates the capabilities impacted by three related regulatory changes.

BENEFITS

The ability to see all your regulatory rules in one place, in a common format and against a common business model reduces complexity, improves visibility and will ultimately lower your cost of compliance.

What is more, by enabling you to visualise the impact of multiple changes on a single picture, your business will be able to plan projects in a more joined up manner and avoid the common frustration of unnecessarily "digging the road up" twice.

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