

Client Reporting and transactional data management

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Introduction

Stop, wait a minute Mr Postman...

20 FUND PORTFOLIO WITH 2 SWITCHES PER QUARTER & A QUARTERLY REBALANCE

4 Suitability reports
4 Cost/benefit analysis
4 Ex-ante cost and charges disclosures

28 Key Investor Information Documents
4 Contract notes



4 Ex-post cost and charges disclosures
4 Quarterly statements
4 Portfolio Scans

= 140+ PAGES.....

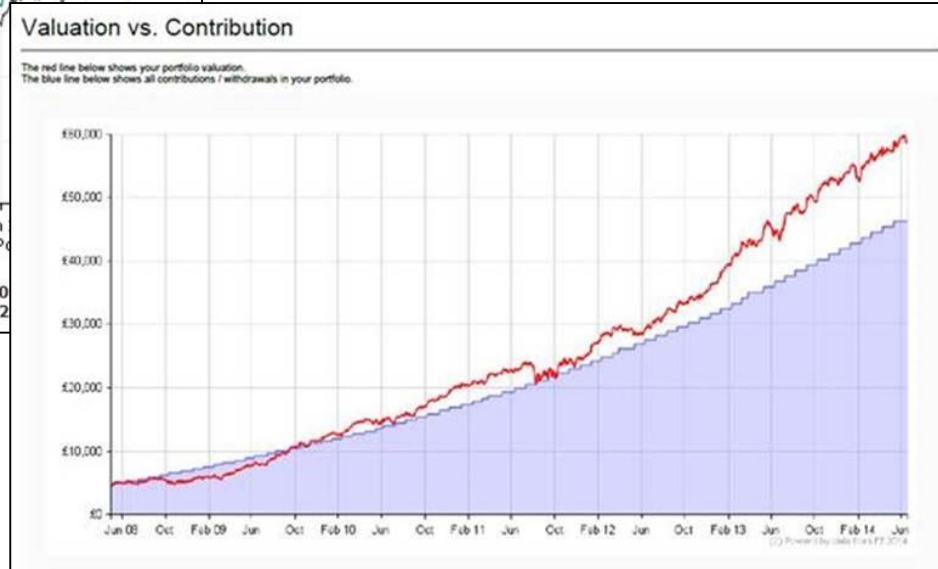
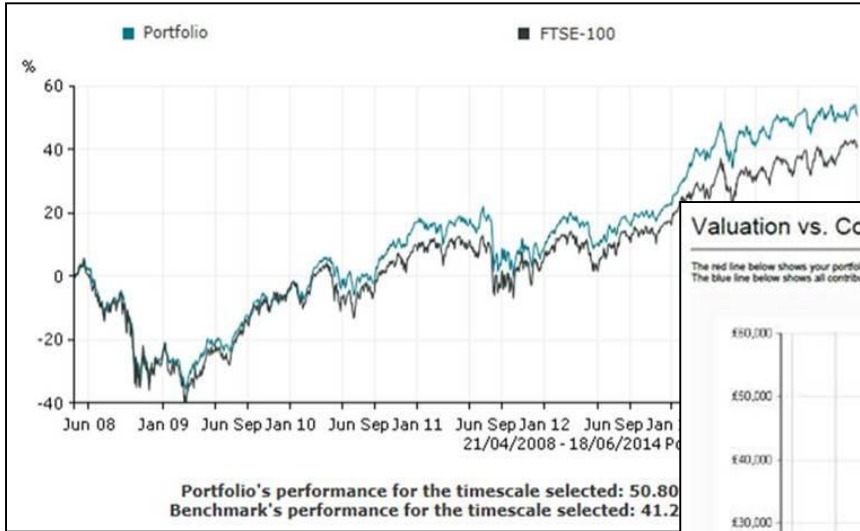
Case Study: Aviva Client Report

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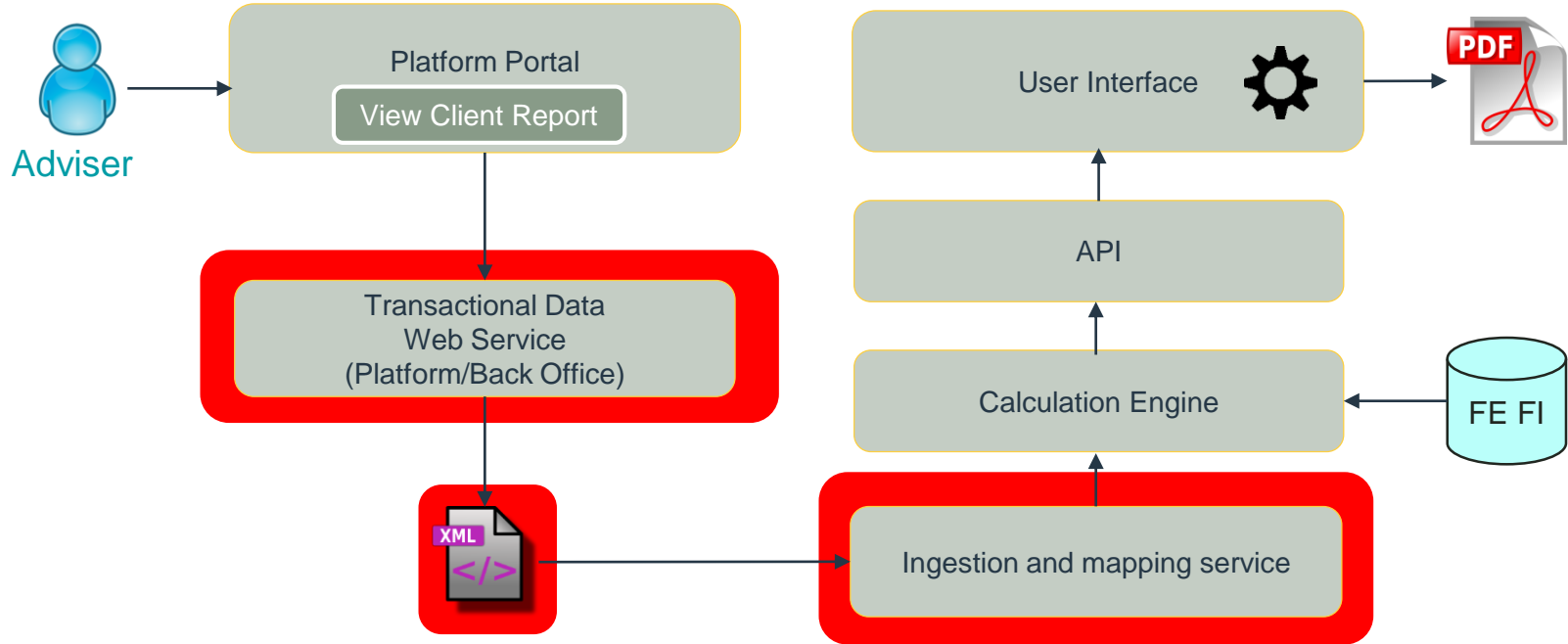
Why does money weighted return matter?

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Data integration

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Data Integration: Challenges

Accessing client specific
historical data

Different product wrappers

...in good time

Legacy accounts

Defining transaction types

Off platform assets,
'other' assets

Lack of data
standardisation

Reconciliation

Mapping data sources together

Testing and sign off

Data Integration: Challenges

Accessing client specific
historical data

Back office infrastructure, how far back?

...in good time

Optimisation, background processing

Defining transaction types

Committing the right resource/expertise

Lack of data
standardisation

Simplification – 100+ transactions to 18

Mapping data sources together

Working with experienced partners

Different product wrappers

Understanding tax implications

Legacy accounts

Build for the future

Off platform assets,
'other' assets

Creation of custom instruments

Reconciliation

Auto Validation

Testing and sign off

Working with experienced partners

Questions?