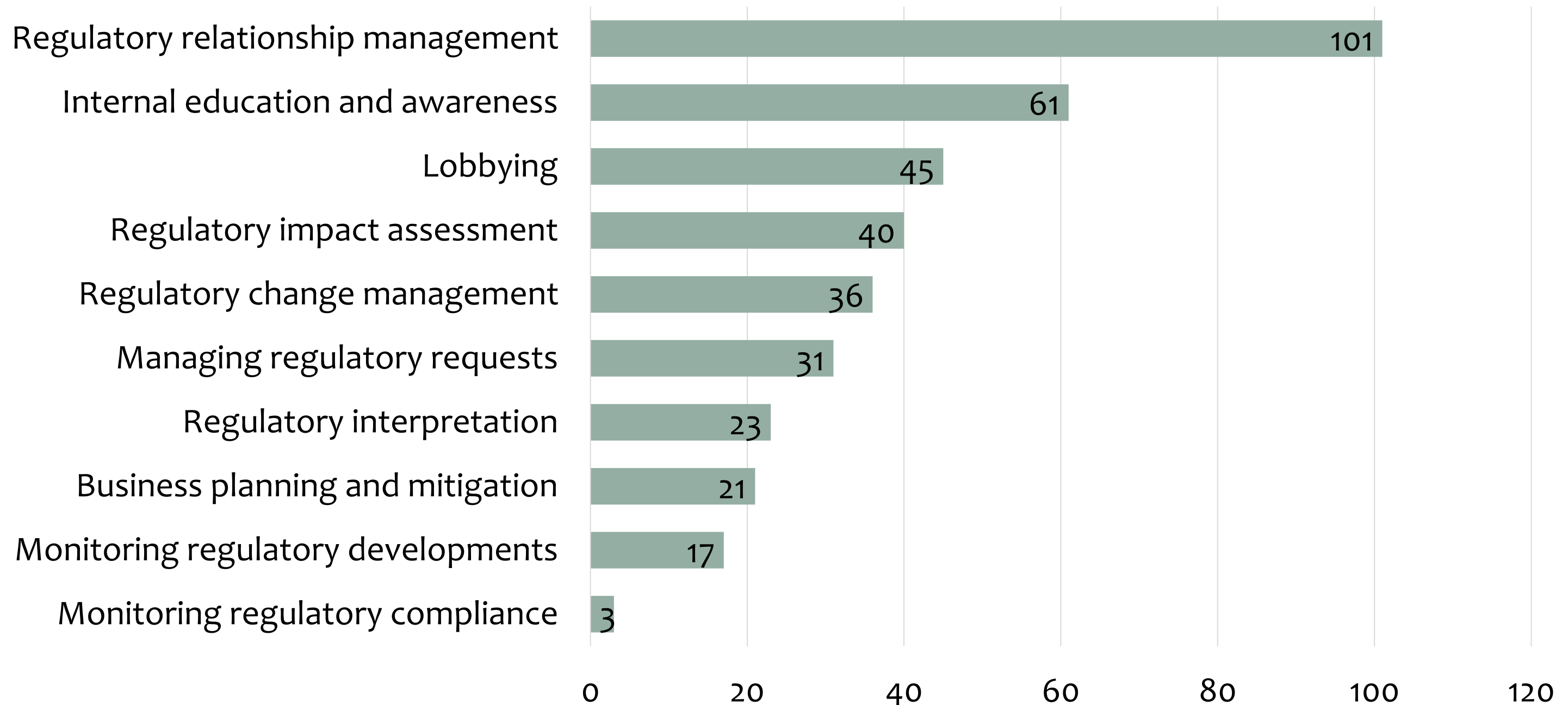


# **The Challenges of Regulatory Change - is RegTech a cure for regulatory indigestion?**

# Top 10 Regulatory Change Management Activities



Source: Lewin, S (2016) *Regulated Organizations: Responding to and Managing Regulatory Change*, LSE PhD Thesis

# Top 5 Challenges



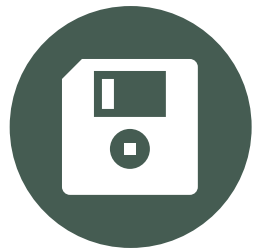
Regulatory uncertainty



Volume and scope of regulatory change



Capacity and resource constraints



Limitations of legacy systems and data

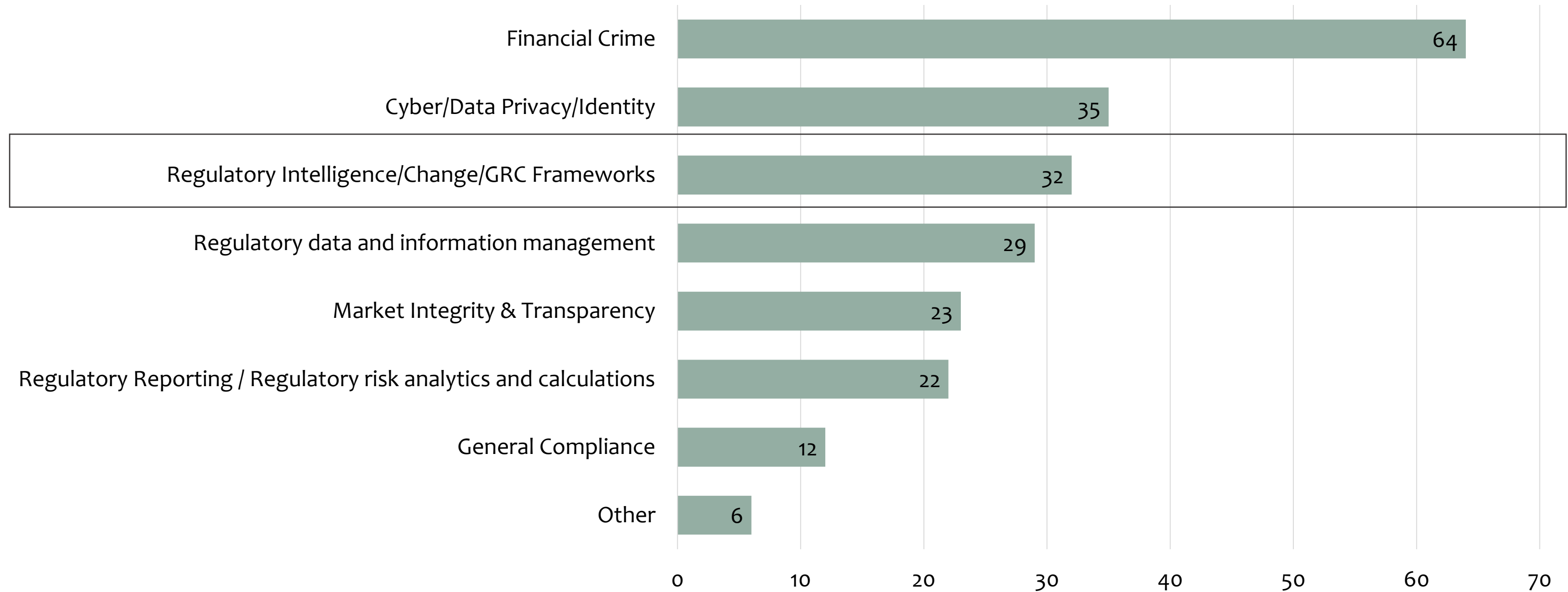


Cultural / human factors

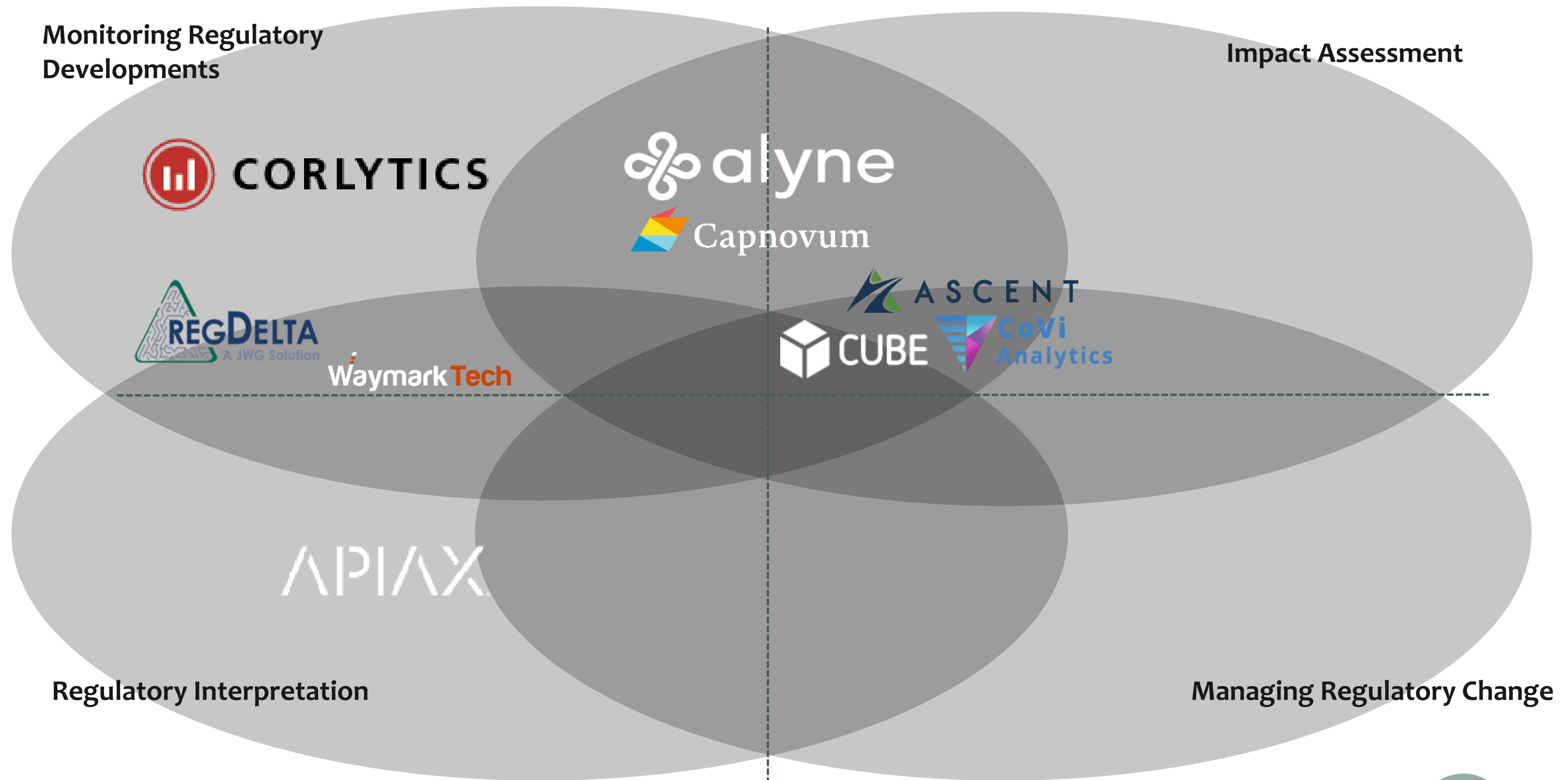
# Implementation Trade-Offs



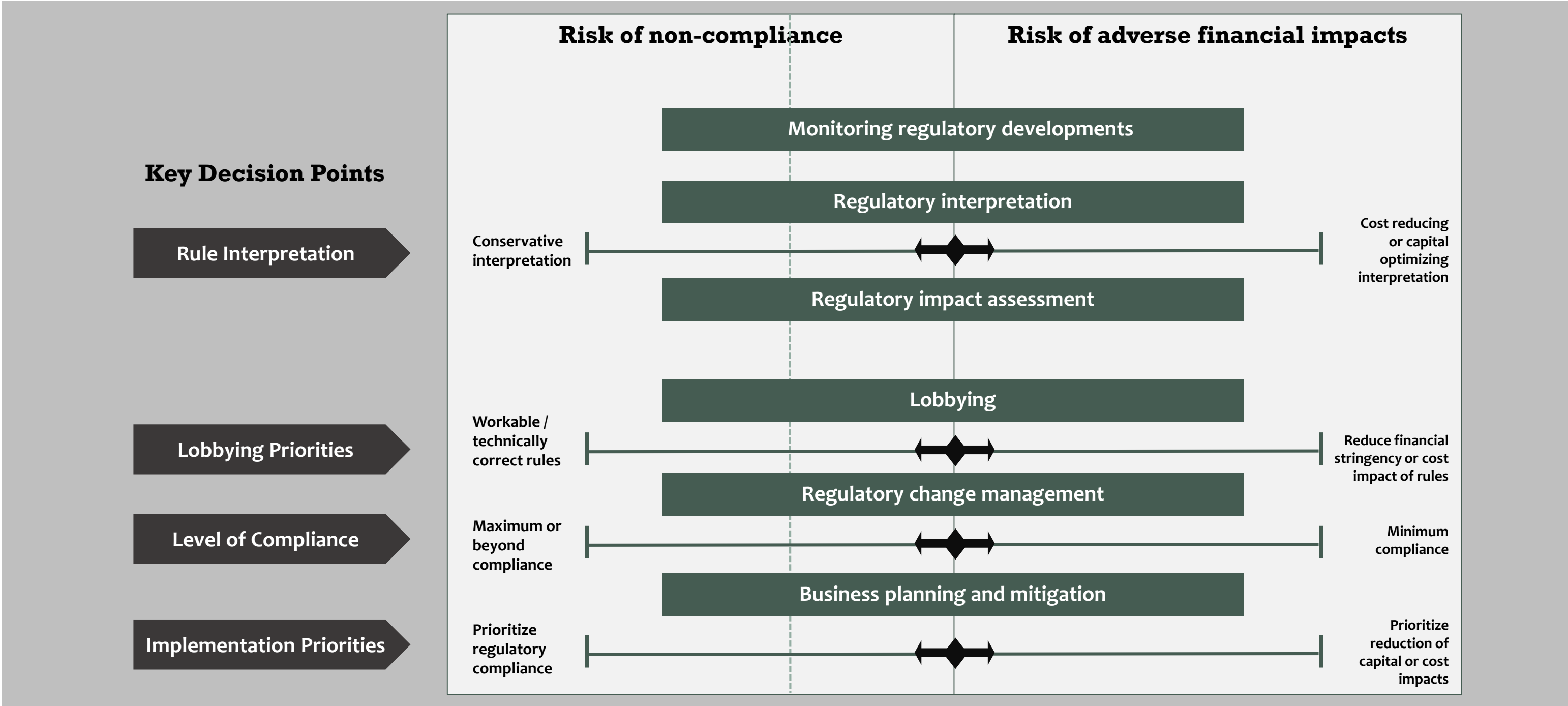
# RegTech and Regulatory Change



# A suggested mapping of RegTech Solutions



# Reg Tech not only reduces cost but frees up management attention for decision-making



Source: Lewin, S (2016) *Regulated Organizations: Responding to and Managing Regulatory Change*, LSE PhD Thesis

# But...technology cannot solve human and cultural challenges

